

**REMARKS/ARGUMENTS**

As filed, this application included claims 1-24. A first office action rejected all of the pending claims under 35 U.S.C. § 103(a). Claims 1-6, 9-16 and 18-24 were rejected as being unpatentable over U.S. Patent No. 5,870,089 to Fabbio et al. ("Fabbio") in view of U.S. Patent No. 5,031,214 to Dziwit et al. ("Dziwit") and further in view of the web page [www.legalstar.com](http://www.legalstar.com) as archived by WAYBACK MACHINE™ ([www.archive.org](http://www.archive.org)) on April 21, 1999 ("LegalStar"). Claims 7 and 17 were rejected as being unpatentable over the combination of Fabbio, Dziwit and LegalStar in view of the publication "Design, Implementation, And Evaluation Of A Revision Control System" by Tichy, published 1982 ("Tichy"). Claim 8 was rejected under as being unpatentable over Fabbio, Dziwit and LegalStar in combination in view of the web page [www.adobe.com](http://www.adobe.com) as archived by WAYBACK MACHINE™ ([www.archive.org](http://www.archive.org)) on January 25, 1999 ("Adobe '99").

This amendment amends claims 1, 3, 4, 7, 9, 12, 17 and 20. This amendment also adds new claims 25-37. No claims have been canceled. Hence, after entry of this amendment, claims 1-37 will stand pending in the application.

**Claim Amendments**

Claim 1 has been amended to recite "receiving from a user a first signal indicating that one or more of the electronic documents are to be filed a patent office . . . ." Claims 9 and 20 have been amended in similar fashion (the amendment to claim 9 refers to a "second signal"). Support for these amendments can be found in, *inter alia*, paragraph 54 of the application. Claim 3 has been amended to recite "allowing a user to perform a manual verification of the locked electronic documents . . . ." Support for this amendment can be found in, *inter alia*, paragraph 55 of the application. Claim 4 has been amended to recite "electronically filing the electronic documents in the patent office." Support for this amendment can be found in, *inter alia*, paragraphs 34 and 58 of the application. Claims 7 and 17 have been amended to recite that "the file history portion of the graphical user interface provides a record of documents submitted to a patent office." Support for these amendments can be found in, *inter alia*, paragraph 52 of

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the application. Claim 12 has been amended to recite that “locking down the one or more documents prevents further editing of the one or more documents.” Support for this amendment can be found in, *inter alia*, paragraph 52 of the application.

New claim 25 recites “receiving a second signal indicating that the one or more electronic documents needs to be edited; and automatically unlocking the one or more documents into an editable form.” Support for claim 25 can be found in, *inter alia*, paragraph 55 of the application. New claims 26, 28 and 31 recite “transmitting the electronic documents to the patent office via an interface to an electronic filing system of the patent office.” Support for these amendments can be found in, *inter alia*, paragraph 34 of the application. New claim 27 recites “electronically filing the one or more documents in a patent office,” support for which can be found in, *inter alia*, paragraphs 34 and 58 of the application. Claims 29 and 30 recite that “the first signal comprises a user moving a document from a first section of a graphical interface to a second section of a graphical interface.” Support for these amendments can be found in, *inter alia*, paragraph 55 of the application.

New claims 32 and 33 are, respectively, a system claim and a computer program claim, each of which correspond to the method of claim 1. Support for claim 32 and 33, therefore, may be found in claim 1 (and the portions of the written description that support claim 1), as well as in Fig. 2 and the description thereof. New claim 34 recites that “at least one of the plurality of documents is stored on a second computer system,” and that “the computer readable medium comprises further instructions executable by the processor to load the at least one of the plurality of documents from the second computer system onto the computer system prior to locking the one or more of the electronic documents.” Support for claim 34 can be found, *inter alia*, in paragraph 57.

New claim 35 recites a method of electronically filing document. Support for this claim can be found in, *inter alia*, claims 1 and 4 (and the portions of the written description that support those claims). New claim 36, which depends from claim 35, is similar to claim 19. Support for new claim 37 can be found in, *inter alia*, paragraphs 54 and 58 of the application.

### **Claim Rejections - 35 U.S.C. § 103**

The office action rejected claims 1-24 under § 103(a) as unpatentable over a combination of Fabbio, Dziweit and LegalStar (further combined, in some cases, with additional references). The applicants respectfully traverse the rejections and submit the following arguments in support of their position. The cited references, taken alone or in combination, fail to teach or suggest every limitation of any claim pending in the application.

Take, for example, claim 1, which recites “receiving from a user a first signal indicating that one or more of the electronic documents are to be filed in a patent office.” The office action posits that Fabbio’s disclosure of a “notification” teaches this element. Fabbio clearly teaches, however, that the “notification service” of Fabbio’s system is merely a delivery notification service that can inform the user of the delivery status of a package. (*see, e.g.*, c. 3, ll. 40-41; c. 6, ll. 6-8; c. 8, ll. 2-5, 21-22). In fact, Fabbio’s use of the term “notification” relates exclusively to notifications from the system to the user. Clearly, such a notification neither teaches nor suggest receiving a notification from a user. More broadly, Fabbio is directed toward a system for sending packages of documents to a variety of devices, including fax machines, printers, etc. Notwithstanding Fabbio’s teaching that one such “device” can be an email address (*see* c. 3, ll. 3-11), Fabbio nowhere even suggests filing a document anywhere, let alone a patent office. Fabbio simply cannot be read to teach or suggest “receiving from a user a first signal indicating that one or more of the electronic documents are to be filed in a patent office.”

Claim 1 further recites “automatically locking the one or more electronic documents into a non-editable form.” The office action asserts that Dziweit teaches this element. While Dziweit does teach the concept of “locking” a document, Dziweit’s locking mechanism clearly fails to teach that locking a document puts that document into a non-editable form. Instead, Dziweit (c. 10, ll. 8-13) teaches that “subroutine file lock 202 . . . loads file ‘contract’ into the document authentication software 143 and prevents unauthorized access or modification of this file. At this stage the file can be edited by preauthorized users (the contracting parties) . . . .” (emphasis added). Clearly, a document “locked” by Dziweit’s system remains in an editable form. It is notable that the only portions (other than the abstract) of Dziweit cited in the office

action are two “means” limitations of the claims. One of these limitations (claim 4) simply recites “means . . . for disregarding any further document modification signals transmitted by said individual to said processor.” This does not teach that the document is non-editable, however. It simply means that “said individual” cannot edit the document; clearly, it is possible that other users could edit the document. Read in light of the specification, therefore, if claim 4 actually recites the “lock subroutine”, then “said individual” must simply be an unauthorized user. The other claim cited by the office action (claim 8) recites “means responsive to said disabling means and said confirming means for preventing said processor from modifying said document.” It is unclear to what function in the specification this limitation refers, since nothing in the specification seems to support this limitation. Clearly, however, it does not refer to the document locking subroutine discussed above. Moreover, without some support in the specification, this limitation cannot be considered an enabling disclosure sufficient to teach the element recited in claim 1. Hence, because the “document locking” described in Dziwit plainly allows preauthorized users to edit the “locked” document, the document locking of Dziwit cannot be read to teach or suggest “automatically locking the one or more electronic documents into a non-editable form,” as claim 1 recites.

Finally, the office action concedes neither Fabbio nor Dziwit disclose that the electronic documents are associated with a patent application or that a signal (which neither Fabbio nor Dziwit discloses) might indicate that one or more of the electronic documents are to be filed in a patent office. The office action asserts, however, that LegalStar discloses electronic patent forms. Clearly, however, the LegalStar documents (which are in a product called “IP LegalForm”) are merely electronic “templates” that may be filled out by a user and printed. Nothing in LegalStar suggests a signal indicating that such documents are to be filed in a patent office. (It is worth noting, moreover, that while LegalStar now does support filing documents from within the LegalStar software, that functionality was available no earlier than February 1, 2003, when the LegalStar website advertised a press release touting this forthcoming feature (*See* Exhibit 1, printout from 2/1/03 snapshot of LegalStar.com, taken from the Internet Archive at [www.archive.com](http://www.archive.com)).

Hence, the cited references, even if combined, utterly fail to disclose each limitation of even claim 1. Moreover, even if the teachings of these references could be cobbled together to teach or suggest the limitations of any claims, neither the references nor the art in general provide any suggestion or motivation to combine them in the asserted manner. Merely by way of example, Fabbio teaches a versatile system for sending documents to a variety of devices, of which an email address is but one. More importantly, Fabbio teaches only one-way communication, even in the context of email. By contrast, Dziewit teaches a collaborative system for producing contracts, wills, etc., whereby multiple parties edit a document, which is authenticated by Dziewit's system. Nothing in Fabbio teaches any need for such authentication; further, since, Fabbio teaches the emailing of documents from one system to another, it is difficult to see how Dziewit, which handles document coordination through its own process, could be combined with Fabbio to produce any operable system.

The office action further suggests that one skilled in the art would be motivated to combine this inoperable system with LegalStar's "electronic documents" for "the electronic filing of documents as per LegalStar." As noted above, however, LegalStar does not teach electronic filing of documents. Moreover, the asserted need to "provide the advantage of rendering electronic documents such as that of LegalStar '99 the degree of trust associated with paper documents, thus providing for automation of the patent application process" is nonsensical, since LegalStar, by its nature, is capable of producing only paper documents, not documents that can be filed electronically, and it is difficult to see how any indicia of trust associated with the electronic document could apply to a printed copy as well. *See* Exhibit 2 (printout of IP LegalForm manual, <http://www.legalstar.com/pdf/iplegalform.pdf>, visited 10/26/04). Hence, there is no cognizable suggestion or motivation to combine these three references in the contemplated manner.

Claim 1, therefore, is allowable over the cited references. For at least similar reasons, independent claims 12, 20, 32, 34, and 35 are allowable as well.

Dependent claims 2-11, 13-19, 21-31, 33, 36 and 37 are allowable as depending from allowable based claims as well as being directed to specific novel substitutes. Merely by

way of example, claim 4 recites “electronically filing the electronic documents in the patent office.” None of the cited references teach or suggest this element. The mere fact that a document, in accordance with the asserted combination, may be forwarded to an arbitrary destination neither teaches nor suggests that the combination may be used to file a document with a patent office in any way, to say nothing of filing a document electronically.

As another example, claim 3 recites “allowing a user to perform a manual verification of the locked electronic documents prior to filing the documents in the patent office.” The office action asserts that this element is taught by “individual document editing, which reads on a manual verification of an electronic document . . . .” Assuming the office action’s reading of Dziewit’s “locking” reads on “automatically locking the electronic documents” recited in claim 1 (which, as noted above, it does not), that locking would prevent the editing (manual or otherwise) of that document, making the asserted combination unable to allow the editing of a locked document, contrary to the office action’s assertion with respect to claim 3. In other words, the office action tries to have it both ways—in rejecting claim 1, the office action asserts that Dziewit’s document locking prevents any edits to a document, but then in rejecting claim 3, the office action asserts that the combination of Dziewit with Fabbio and LegalStar allows a locked document to be edited, reading on claim 3’s manual verification of the document. Both cannot be true, and in fact, the applicants respectfully would argue that neither is true.

Claim 6 recites that “automatically locking includes automatically converting the one or more electronic documents from a first document type to a locked image file.” The office action states, in cursory fashion, that the combination of Fabbio, Dziewit and LegalStar “disclose wherein the automatically locking includes automatically converting the one or more electronic documents from a first document type to a locked image file.” The office action fails to support this statement, however, and nothing in the cited passages of those references, appears to teach or suggest this automatic conversion feature. Even assuming, *arguendo*, that the references teach automatic conversion at all (a proposition the applicants do not concede), the office action fails to show how the references teach automatic conversion as part of a locking process. For at least similar reasons, the rejection of claim 10 is improper.

In rejecting claim 7, the office action contends that Tichy's revision control system teaches a file history portion of a graphical user interface. While Tichy does teach the maintenance of a history of particular files, it fails to teach that this file history has anything to do with a graphical user interface (indeed, given the state of technology in 1982, it would be difficult to see how Tichy could teach a graphical interface). Moreover, the term "file history" is a term of art, as one skilled in the art would appreciate, and the applicants respectfully submit that the office action misperceives the scope of claim 7 in rejecting that claim. To more clearly indicate its scope, claim 7, as amended, now recites that "the file history portion of the graphical user interface provides a record of documents submitted to a patent office." Clearly, neither Tichy nor any of the other cited references teach or suggest claim 7 in its current form. For at least similar reasons, claim 17 is allowable.

With respect to claim 9, the office action does not even attempt to formulate a rationale for its rejection. Claim 9 recites "receiving a second signal indicating that the one or more of the electronic documents are final draft documents." The office action asserts that Fabbio's notification reads on this second signal. Notwithstanding the fact that, as noted above, the "notification" of the cited references cannot read on even a first signal, the office action does not even attempt to apply this same notification to read on a second signal, because it cannot. The office action, without explanation, appears to use the same teaching to read on two different claim elements. This is impermissible, and the applicants respectfully request the withdrawal of that rejection.

For these and other reasons, dependent claims 2-11, 13-19, 21-31, 33, 36 and 37 are allowable, and the applicant respectfully requests the withdrawal of the rejections pertaining to those claims.

### **Conclusion**

In view of the foregoing, the applicants believe all claims now pending in this application are in condition for allowance. The issuance of a formal Notice of Allowance at an early date is respectfully requested.


Appl. No. 09/997,311  
Amdt. dated November 10, 2004  
Reply to Office Action of August 10, 2004

PATENT

If the Examiner believes a telephone conference would expedite prosecution of this application, please telephone the undersigned at 303-571-4000.

Respectfully submitted,

Date: 11/10/2004

  
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Appl. No. 09/997,311  
Amdt. dated November 10, 2004  
Reply to Office Action of August 10, 2004

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**EXHIBIT 1**

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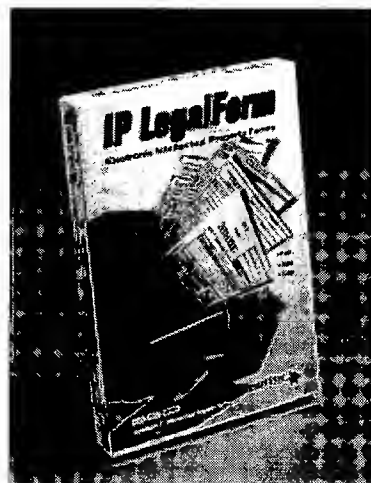
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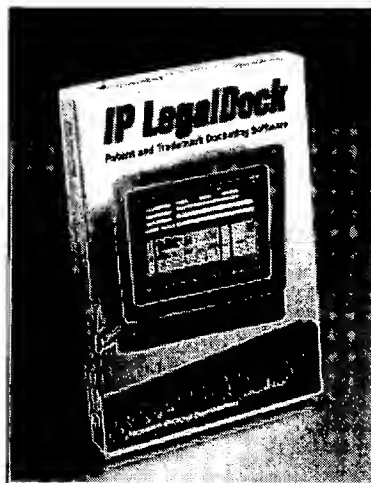
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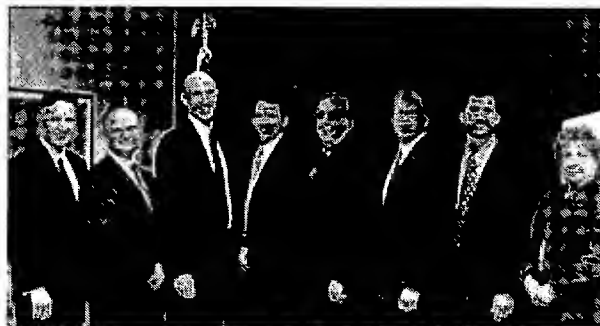
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June 18, 2002

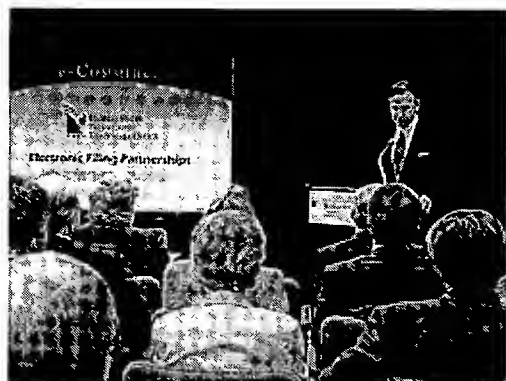
#02-48

## USPTO Announces Electronic Filing Partnerships As Part of Newly Unveiled Strategic Plan

The Department of Commerce's United States Patent and Trademark Office (USPTO) today announced the award of partnership contracts for the electronic filing of patent applications as part of its strategic plan to five companies: Aspen Grove, Inc., AutoDocs, LLC, First to File, Inc., LegalStar, Inc and LexisNexis. The partnerships are "no cost contracts" which means that the companies will be providing their services to USPTO customers at no cost to the agency.



**USPTO Director Rogan with New Patent E-Filing Partners:**  
Robert Simpson, President, LegalStar;  
Jim Shay, President and CEO, First to File Inc.;  
Eric Patrick, CEO, Aspen Grove, Inc.;  
Walter Walvick, President, AutoDocs, LLC;  
Ken Crutchfield, Vice President, LexisNexis  
also pictured: Doug Bourgeois, USPTO CIO  
and Anice Ogden, Contracting Officer



USPTO Director Rogan welcomes participants to signing of Electronic Filing Partnerships.

In making the announcement, USPTO Director Jim Rogan noted, "Two weeks ago when I unveiled our 21st Century Strategic Plan, I indicated that we would be leveraging outside resources to assist us in moving toward a full paperless patent process by the end of 2004. That is precisely what these partnerships will do. This endeavor also fully supports President Bush's e-commerce goals by utilizing the private sector's business expertise to provide better, more efficient and less costly government services to our citizens."

Each of the five companies will pursue its own business plan, and integrate technology of its own development for providing its customers with simple, convenient and secure electronic submissions to the USPTO. The awardees responded to a solicitation from the USPTO and demonstrated an ability to build and market systems that are technically viable and provide services and products of value to filers. All five companies presented a potentially successful



business strategy and have documented experience in e-commerce product development.

A new Partner, Jim Shay, President & CEO, First to File, is signed on by Anice Ogden as USPTO Director Rogan and CIO Bourgeois look on.

The partnerships will supplement USPTO's current Electronic Filing System (EFS). The EFS system proved the viability of the concept of electronic filing. Electronic filing can now be better addressed by vendors with a profit motive and market experience.

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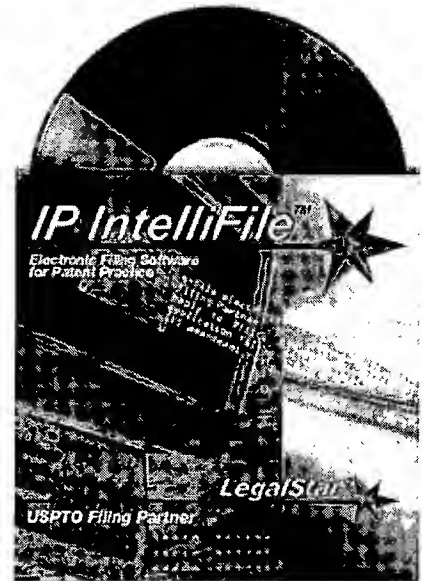
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Appl. No. 09/997,311  
Amdt. dated November 10, 2004  
Reply to Office Action of August 10, 2004

PATENT

**EXHIBIT 2**

Printout of IP LegalForm manual, <http://www.legalstar.com/pdf/iplegalform.pdf>,  
visited 10/26/04



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## Introduction

Welcome to Version 3.0 of *IP LegalForm*™. With our new Forms Manager, opening, filling, saving, retrieving and printing of intellectual property law forms was never easier. You can now organize your forms in a folder by client or matter number. You can easily search for existing folders by matter number or client as well.

## Installing *IP LegalForm*™

To install *IP LegalForm*™, simply follow the instructions on Disk 1, i.e., install Disk 1 into your floppy drive, select **Start**, click on **Run**, and type `a:/Setup`.

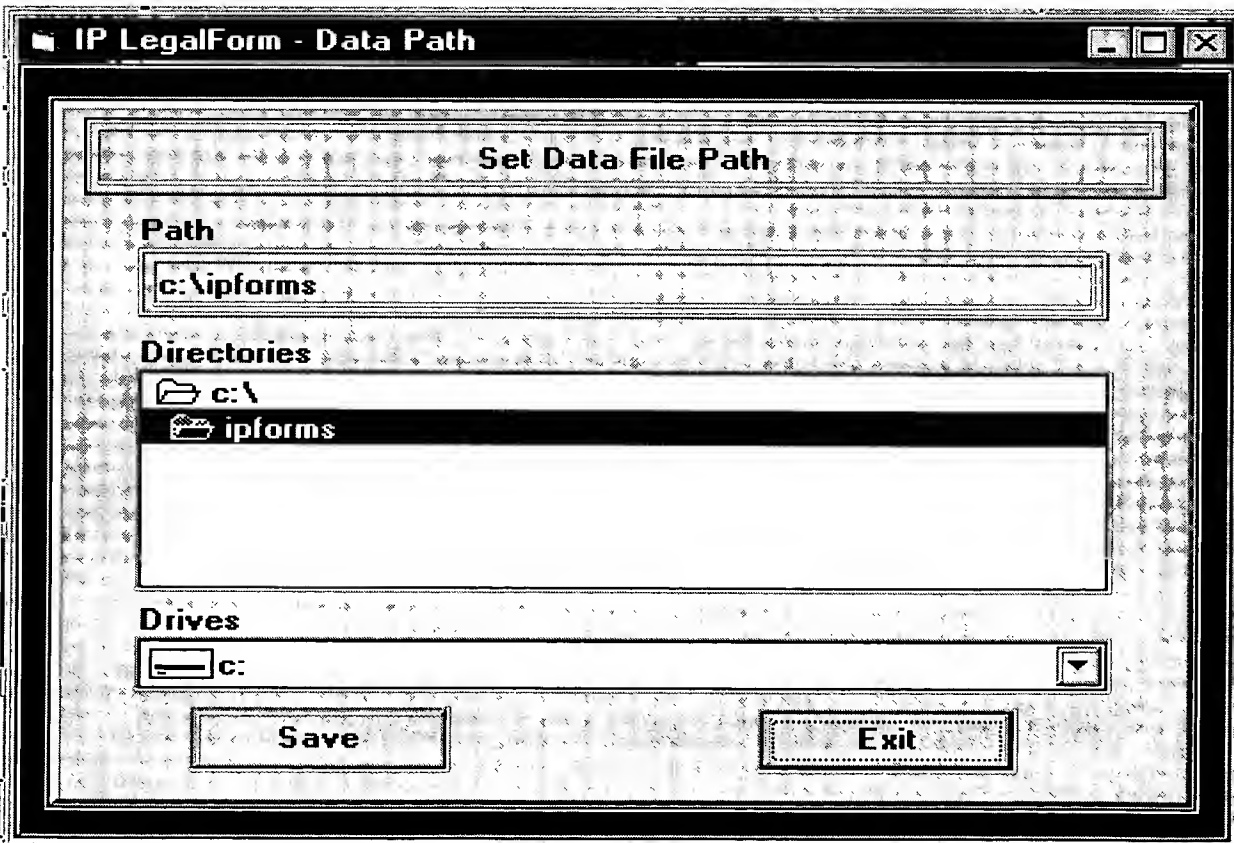
## Opening *IP LegalForm*™



To open *IP LegalForm*, **Double-click** the **LegalStar** icon on your desktop. Due to the size of the program, *IP LegalForm* may take anywhere from a few seconds to nearly one minute to fully load. Once open, we recommend you minimize, rather than close, the program for future use during the day.

## Running *IP LegalForm*™ for the First Time (Setting up a Data Path)

The first time you run *IP LegalForm* Version 3.0 you should set the data path from the **Utilities** menu. The data path will determine where the data for your forms will be saved. Setting a data path enables you to store all form data files in a central location for easy backup and housekeeping purposes.



## The Forms Manager

After launch, the Forms Manager main screen will appear. The Forms Manager allows you to organize your forms in folders. The folders are identified by "Matter/File Number", "Client Name", "Date" and/or "Long File Name". You can search for individual form folders by matter name or client name. The active Folder of Forms appears on the bottom right hand side of the screen. Fill out the header information for the active Folder by typing in the matter number and client name.

**Forms Manager**

Matter/File Number: \_\_\_\_\_ Client Name: \_\_\_\_\_

Date: 05/29/1998 Long File Name: \_\_\_\_\_

Notes: \_\_\_\_\_

Add Forms to Folder

Double-Click on the Name of the Form that you wish to Open  
or  
Click on the Name of the Form that you wish to Make Active

Active Form: \_\_\_\_\_

Open Active Form

Open All Forms

Form Name	Form Description	Code

Record 2/2 Field Form Row 8 A Pg 1/7

## Adding Forms to a Form Folder

Add Forms to Folder

Active Form: \_\_\_\_\_

Click on the "Add Forms to Folder" button. This will take you to the index of forms. First select a form category (patent, trademark, etc.) and then select a form that you want to add to the folder by clicking on the form name.

☒ Patent Forms  
☐ Trademark Forms  
☐ Service Mark Forms  
☐ Copyright Forms  
☐ PCT Forms

Return to Forms Manager

Form Name
P01 Large.FRP
P01 Small.FRP
P01 DLrg.FRP
P01 DSml.FRP

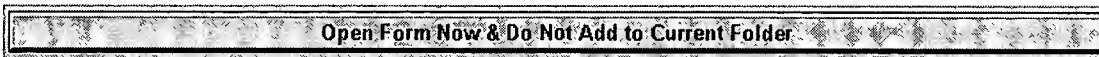
Once a form is selected, you have three options. You can open the form immediately and add it to the active folder by **clicking** on the button below:



Or, you can add the selected form to the active folder, but open the form later. This option allows you to add the form to the active folder and then return to the index of forms so that you can continue to add additional forms to the active folder. To add forms to the active folder for later opening, **click** the button below:



Finally, you can open a form without adding it to the folder of forms. You can use this option if you want to fill out a form without saving it. Use the button below for this option:



After you have made your selection, **click** on the "Return to Forms Manager" button. This will take you back to the main Forms Manager screen. The active folder will now contain all the forms you selected.

### Opening a Form

There are three ways to open a form from the main Forms Manager Folder of Forms. **1.) Double-click** on the name of the form and it will open; or, **2.) Single-click** on the name of the form to make it the Active Form and then **click** on the "Open Active Form" button, or, **3.) Click** on the "Open all Forms" button (which opens all forms in the folder.)

<b>Add Forms to Folder</b>		<b>Double-Click on The Form Name That You Wish or Click on The Form Name That You Wish To M</b>	
<b>Active Form</b> P01Large.FRP		<b>Folder of Forms</b>	
<b>Open Active Form</b>			
<b>Open All Forms</b>			
		<b>Form Name</b>	<b>Form Description</b>
		P01Large.FRP	Patent Application Transmittal Letter (

## Filling a Form

To fill out a form after it has been opened, simply enter the information and tab from field to field. The data can be saved at any time by **clicking** on the “Save” button located on the command ribbon.

[illegible]

## Navigating Through the Pages of a Form

Use the four page navigation buttons to the right of the “Close” button on the command ribbon to advance or retreat through the pages in a form. You can use the navigation buttons to scroll through the form one page at a time or to immediately go to either the first or last page in a form. To go to a specific page in a form, enter the page number in the window immediately to the right of the “#” symbol on the command ribbon.

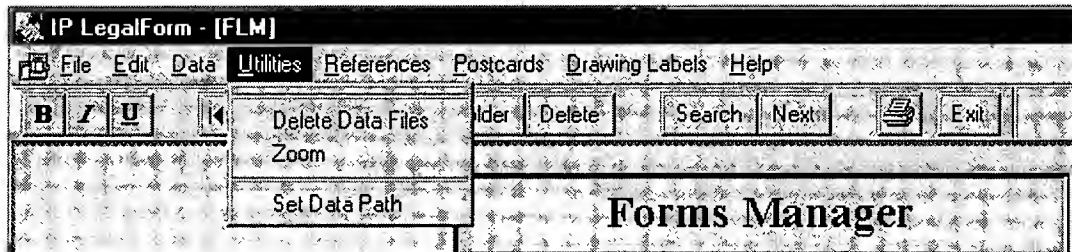
IP LegalForm - [TX]

File Edit Data Utilities References Postcards Drawing Labels Help

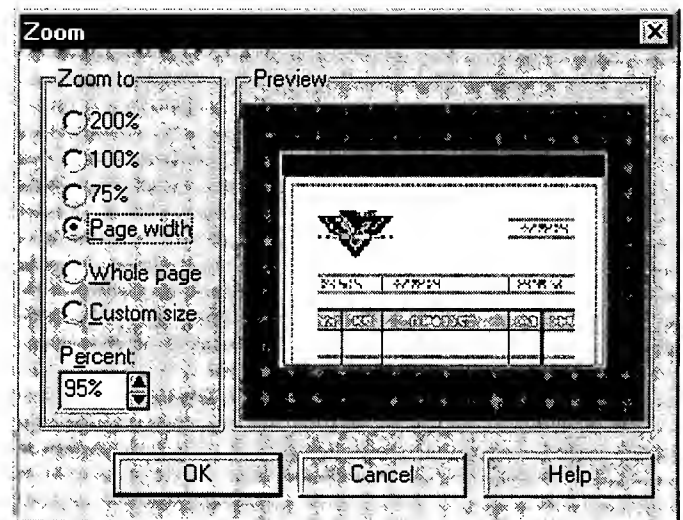
B I U Copy Paste Undo Redo Save Close Print All

## Changing the View of a Form

To change the magnification of a form as you are filling it, select "Utilities" from the task bar, and then select "Zoom".



With the "Zoom" function, you can enlarge the form or any part of the form for ease in filling.



## Printing a Form or Group of Forms

To print all pages in a single form, open it and then **click** on the printer icon located on the command ribbon. You can also print a form by selecting "File" from the menu bar and then "Print". To print all forms in a folder, simply open any one of the forms and then **click** on the "Print All" button, located on the command ribbon.

## Saving a Form

To save a form and its associated data, **click** "Save" on the command ribbon. The form and data are then saved within the active folder. The form and data are also saved when you **click** "Close".

## Copying a Form

After opening a form you can create duplicate blank or filled copies of the form as follows: First fill out any fields that you would like to appear on subsequent copies. Next **click** on the “Copy” button. Next **click** on the “Triple Page” icon on the command ribbon. Finally navigate through the copies by **clicking** on the “Up Arrow” and “Down Arrow” buttons on the command ribbon.



## Navigating Through the Forms Within a Folder

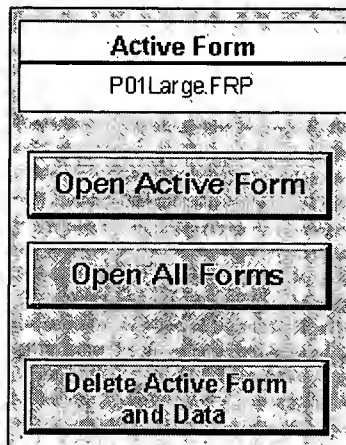


If you have opened all of the forms in a folder, you can navigate through the folder by **clicking** on either the “Right Arrow” or “Left Arrow” buttons located on the command ribbon. The “Right Arrow” button will take you into the next form and the “Left Arrow” button will take you to the previous form.

## Returning to the Forms Manager

After the form is complete and you are ready to return to the forms manager **click** on the “Close” button located on the command ribbon. If you have multiple forms open you will have to close all of the forms before returning to the Forms Manager.

## Deleting a Form from a Folder

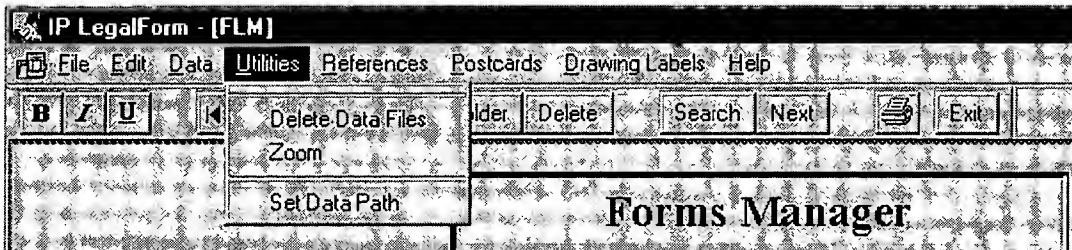


To delete a form from a folder you must first **click** on the name of the form to make it the active form. After the name of the form appears in the active form box you can **click** on the “Delete Active Form and Data” button. When you delete the active form it will also delete any data file associated with that form.

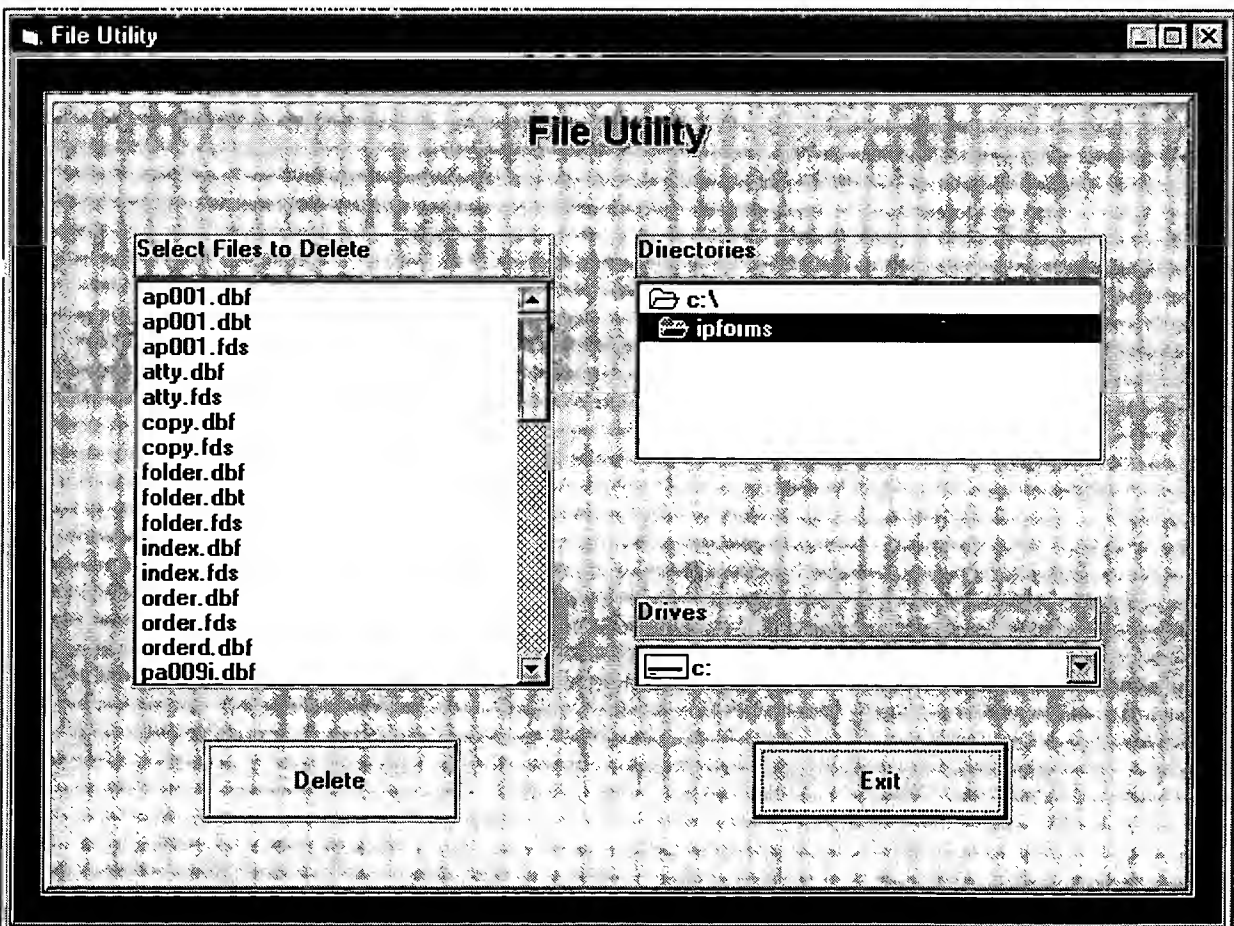


## Deleting Data Files (Housekeeping)

Occasionally it may be necessary to delete multiple data files (as opposed to single forms or folders). We recommend that you use this feature sparingly and that deletion of files be done by a system administrator to ensure that valuable data is not lost. This housekeeping can be accomplished by first clicking on "Utilities" from the task bar and then selecting "Delete Data Files":



Once the "File Utility" screen below appears, you can select data files to delete. There are three types of data files: "dbf" are main data files; "dbt" are header files; and "fds" are footer files. All three types of files should be deleted for a specific file name.



## Saving a Folder

No action is required to save a folder. Folders are saved automatically upon exiting the program or opening another folder.

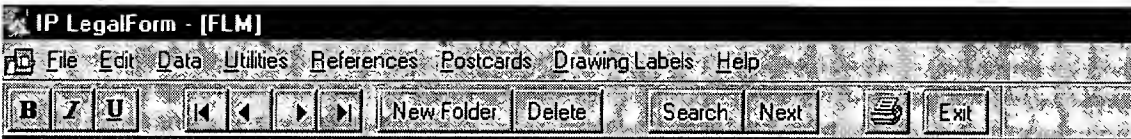
## Deleting a Folder

To delete a folder, **click** on the **Delete** button which is located on the command ribbon. When you delete a folder, all of the forms and data associated with those forms will be deleted.



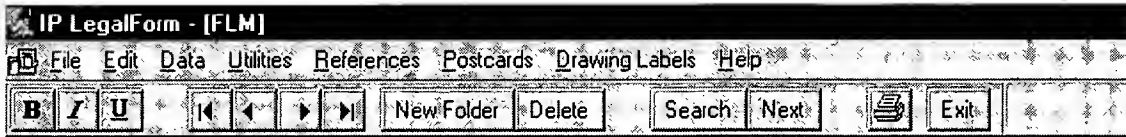
## Setting up a New Folder

To create a new folder **click** on the "New Folder" button which is located on the command ribbon in the Forms Manager. If you are working on the last record, you can create a new folder by clicking on the next record or "right arrow" button on the command ribbon.

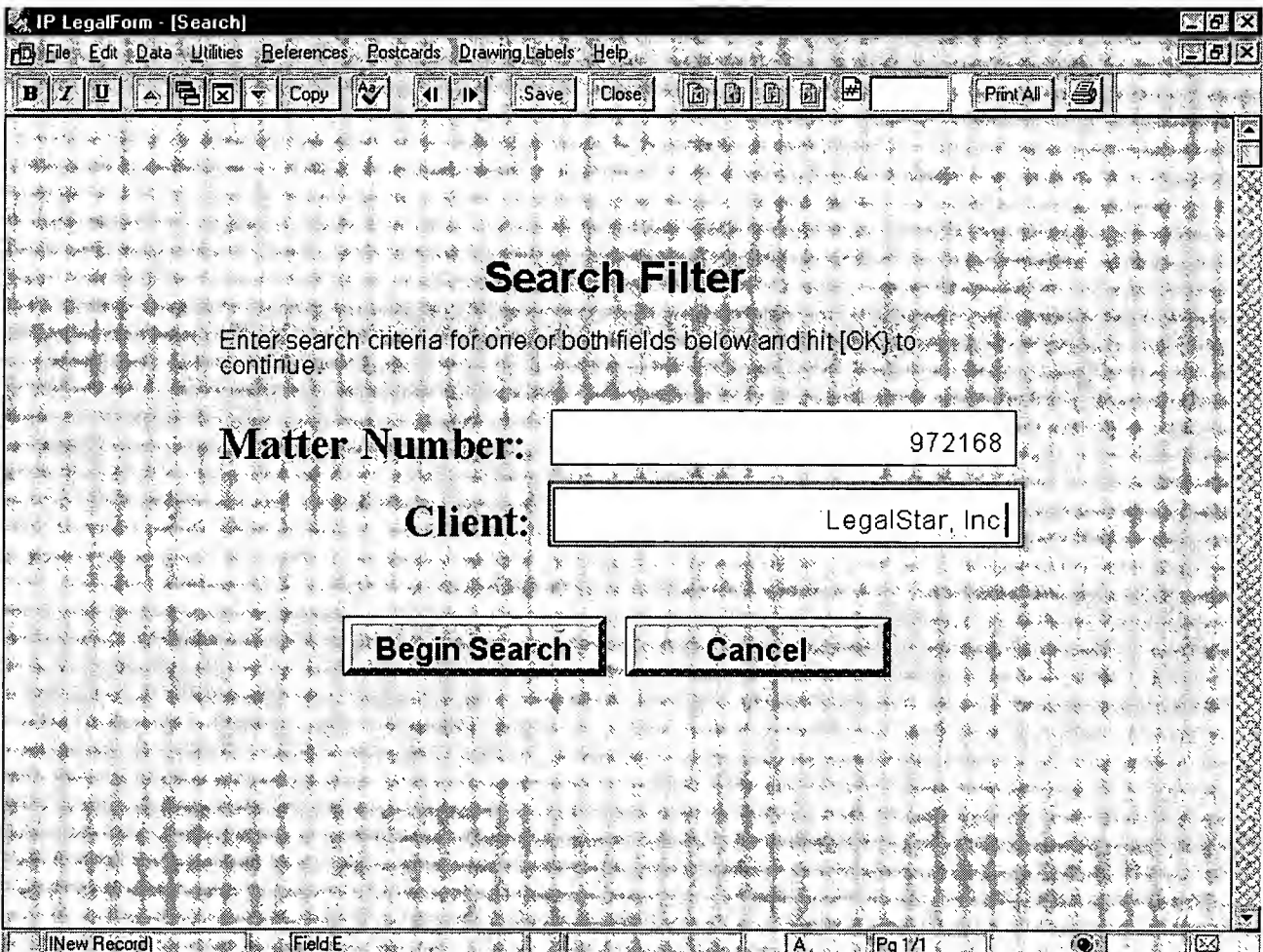


## Searching the Folders

There are two ways to search for a previously created folder. First, you can **click** on the arrow keys on the command ribbon to scroll through the folders one record at a time.



Second, you can **click** on the “Search” button located on the command ribbon to search by matter number or client name as shown below:



To search for a particular matter type in all or part of either or both “Matter Number” and “Client” fields and **click** on “Begin Search”. If any folders are found that match the search criteria you will automatically be taken to the first record for that folder group. If the folder found by the search is not the one you want, **click** on “Next” on the command ribbon. This will take you to the next record in the folder group that matches your search criteria. Continue to do this until you find the folder you are seeking.

## Creating a *LegalStar* Laser Acknowledgment Postcard

Select "Postcards" from the task bar; then select "Complete Postcard". When the postcard form appears on the screen, complete the top of the form by listing the items you are mailing to the USPTO. Complete the return address label on the bottom of the form. When complete, insert a *LegalStar* Laser Acknowledgment Postcard into your laser printer and print the postcard. Break the perforations on the postcard, peel off the return label and place it on the front of the card, add postage and mail.

The screenshot shows a software interface for creating a postcard. At the top, a title box reads: "LegalStar USPTO Acknowledgment Postcard. For Use With IP LegalForm Electronic Forms. Product #AP001 (Call 800-605-3360 to Reorder)". Below this is a header bar that says "RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE". The main form area contains the following text: "Applicant: LegalStar, Inc.", "Trademark: LegalStar", "Class: 9", a list of items: "(1) Transmittal Letter (in duplicate)", "(1) Intent-to-Use Trademark Application, Corporation, Principal Register with Declaration and Power of Attorney", "(1) Certificate of Mailing by Express Mail #TB752574306 US", "(1) Check in the amount of \$245.00", "(1) Acknowledgment Postcard", and "Docket No.: LS001". Below the form is a "Data Utilities" section with navigation buttons (|<, <, >, >|), a "Save" button, and a "Delete" button. At the bottom is a section titled "Complete Return Address Label and Affix To Front of Postcard" containing a return address label for Robert P. Simpson at Simpson & Simpson, 5554 Main Street, Williamsville, NY 14221.

LegalStar USPTO Acknowledgment Postcard  
For Use With IP LegalForm Electronic Forms  
Product #AP001 (Call 800-605-3360 to Reorder)

RECEIVED IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: LegalStar, Inc.  
Trademark: LegalStar  
Class: 9

(1) Transmittal Letter (in duplicate)  
(1) Intent-to-Use Trademark Application, Corporation, Principal Register with Declaration and Power of Attorney  
(1) Certificate of Mailing by Express Mail #TB752574306 US  
(1) Check in the amount of \$245.00  
(1) Acknowledgment Postcard

Docket No.: LS001

Data Utilities

|< < > >| Save Delete

Complete Return Address Label and  
Affix To Front of Postcard

Robert P. Simpson  
Simpson & Simpson  
5554 Main Street  
Williamsville, NY 14221

## Ordering *LegalStar* Laser Acknowledgment Postcards

You may order *LegalStar* Laser Acknowledgment Postcards by telephone (800-605-3360, 716-634-1614), facsimile (716-631-3428), e-mail (visit our web site at [www.legalstar.com](http://www.legalstar.com)). To complete an order form, simply select "Postcards" from the task bar and then select "Order Postcards".

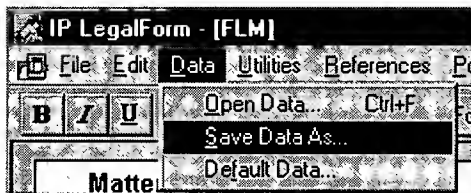
## Saving and Retrieving Postcard Data Files

There are two ways to save and retrieve postcard data files. The first, and easier way to save is to use the "Data Utilities" tool in the center of the postcard screen. To save or delete a data file displayed on the screen, simply click "Save" and/or "Delete", respectively. To scroll through saved postcard data files, simply use the arrow keys to the left of the "Save" and "Delete" buttons.

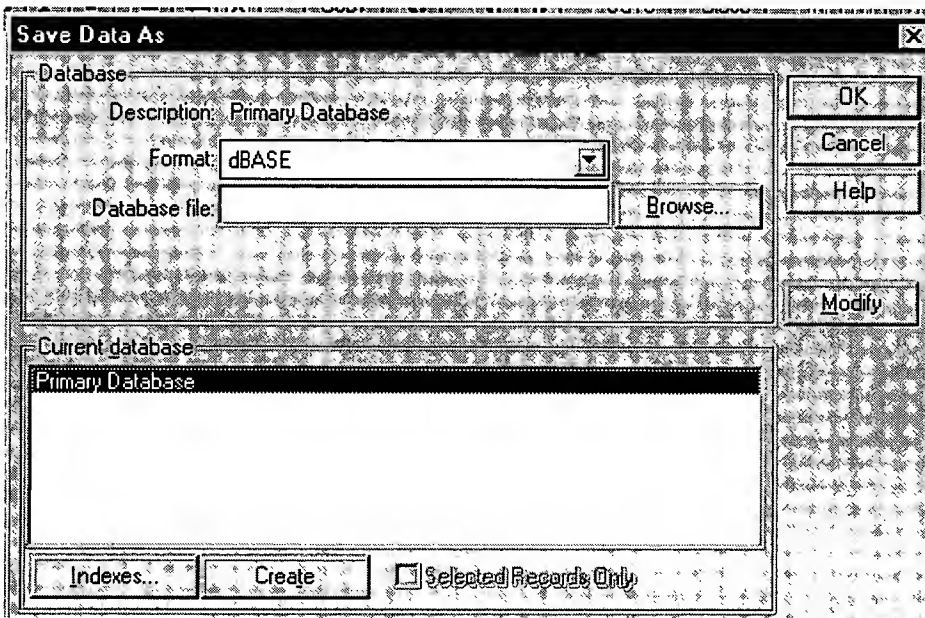


The second way to save and retrieve postcard data files is as follows:

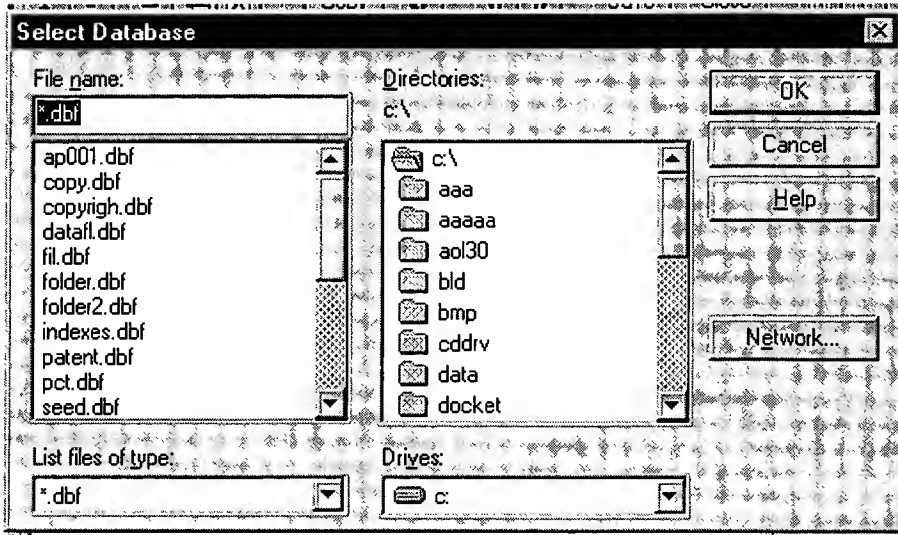
To save a data file: After completing the postcard, select "Data" from the task bar and then select "Save Data As"



Once the "Save Data As" screen appears, you can either enter a data file name (with a ".dbf" suffix), or select "Browse" to select a certain directory and path.

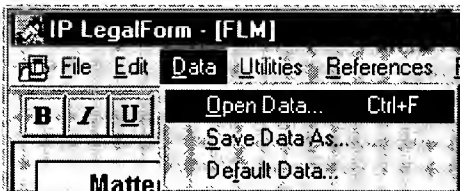


If you select "Browse" the following screen will appear, allowing you to select a particular drive, directory and file name to save your data file:

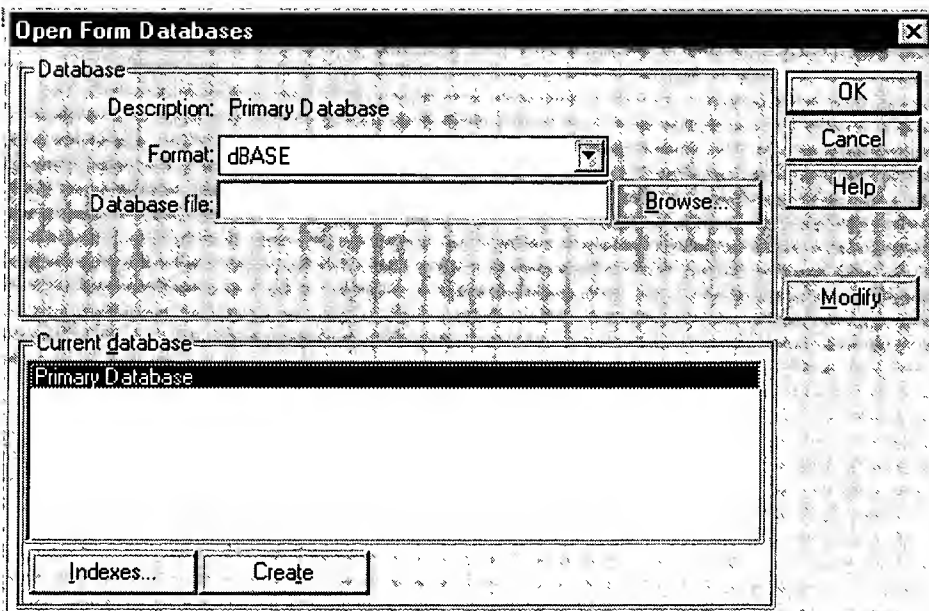


Click on "OK" on the respective screens to save your data file.

To retrieve a saved data file, click on "Data" from the task bar, and then "Open Data":

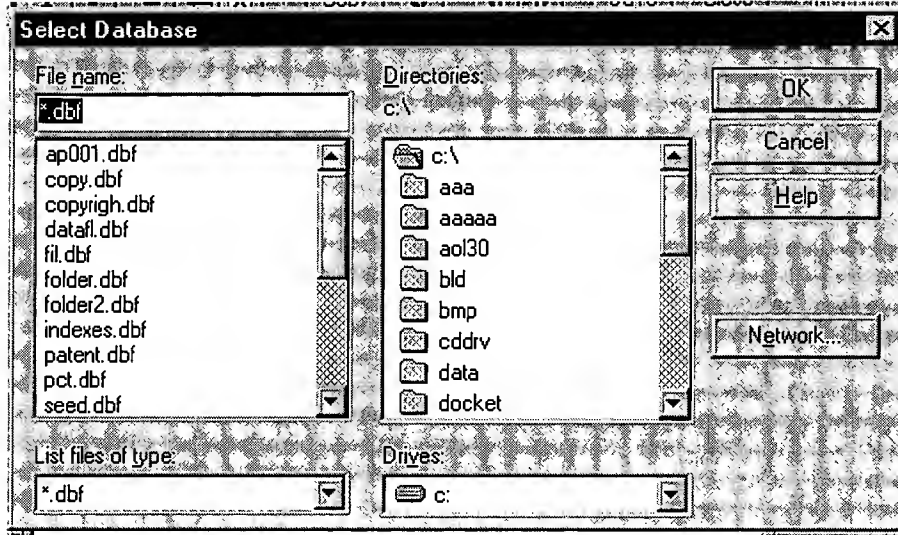


Once the "Open Form Databases" screen appears, select "Browse" to find the data file you want to open.





Select the data file you want to open from the "Select Database" screen:



## Filling Out a Patent Drawing Label Form

Select "Drawing Labels" from the task bar; then select "Complete Drawing Label". When the drawing label form appears, simply fill in the first label on the sheet, being sure to indicate the total number of labels required. Common data will automatically spread to all the other labels on the sheet. Insert a *LegalStar* Drawing Label Sheet into your laser printer and print the sheet.

**IP LegalForm - [DWG.FRP]**

File Edit Data Utilities References Postcards Drawing Labels Help

**LegalStar Drawing Labels**

<p>Title of Invention</p> <p><b>COMPUTER SOFTWARE DOCKETING PROGRAM FOR INTELLECTUAL PROPERTY MATTERS, WITH STATUTORY BAR DATE WARNING SYSTEM</b></p> <p>Name of Inventor(s)</p> <p><b>Michael J. Simpson &amp; Robert P. Simpson</b></p> <p>Name &amp; Telephone Number of Person to Call if Necessary</p> <p><b>Robert P. Simpson, Esq. (716) 634-1614</b></p> <p>Serial No. (if known) Docket No.</p> <p><b>Unknown Sheet 1 of 10 LegalStar</b></p>	<p>Title of Invention</p> <p><b>COMPUTER SOFTWARE DOCKETING PROGRAM FOR INTELLECTUAL PROPERTY MATTERS, WITH STATUTORY BAR DATE WARNING SYSTEM</b></p> <p>Name of Inventor(s)</p> <p><b>Michael J. Simpson &amp; Robert P. Simpson</b></p> <p>Name &amp; Telephone Number of Person to Call if Necessary</p> <p><b>Robert P. Simpson, Esq. (716) 634-1614</b></p> <p>Serial No. (if known) Docket No.</p> <p><b>Unknown Sheet 2 of 10 LegalStar</b></p>
<p>Title of Invention</p> <p><b>COMPUTER SOFTWARE DOCKETING PROGRAM FOR INTELLECTUAL PROPERTY MATTERS, WITH STATUTORY BAR DATE WARNING SYSTEM</b></p> <p>Name of Inventor(s)</p> <p><b>Michael J. Simpson &amp; Robert P. Simpson</b></p> <p>Name &amp; Telephone Number of Person to Call if Necessary</p> <p><b>Robert P. Simpson, Esq. (716) 634-1614</b></p> <p>Serial No. (if known) Docket No.</p> <p><b>Unknown Sheet 3 of 10 LegalStar</b></p>	<p>Title of Invention</p> <p><b>COMPUTER SOFTWARE DOCKETING PROGRAM FOR INTELLECTUAL PROPERTY MATTERS, WITH STATUTORY BAR DATE WARNING SYSTEM</b></p> <p>Name of Inventor(s)</p> <p><b>Michael J. Simpson &amp; Robert P. Simpson</b></p> <p>Name &amp; Telephone Number of Person to Call if Necessary</p> <p><b>Robert P. Simpson, Esq. (716) 634-1614</b></p> <p>Serial No. (if known) Docket No.</p> <p><b>Unknown Sheet 4 of 10 LegalStar</b></p>
<p>Title of Invention</p> <p><b>COMPUTER SOFTWARE DOCKETING PROGRAM FOR INTELLECTUAL PROPERTY MATTERS, WITH STATUTORY BAR DATE WARNING SYSTEM</b></p> <p>Name of Inventor(s)</p>	<p>Title of Invention</p> <p><b>COMPUTER SOFTWARE DOCKETING PROGRAM FOR INTELLECTUAL PROPERTY MATTERS, WITH STATUTORY BAR DATE WARNING SYSTEM</b></p> <p>Name of Inventor(s)</p>

Record 1/1 Field UU A Pg 1/1

## Ordering *LegalStar* Laser Patent Drawing Labels

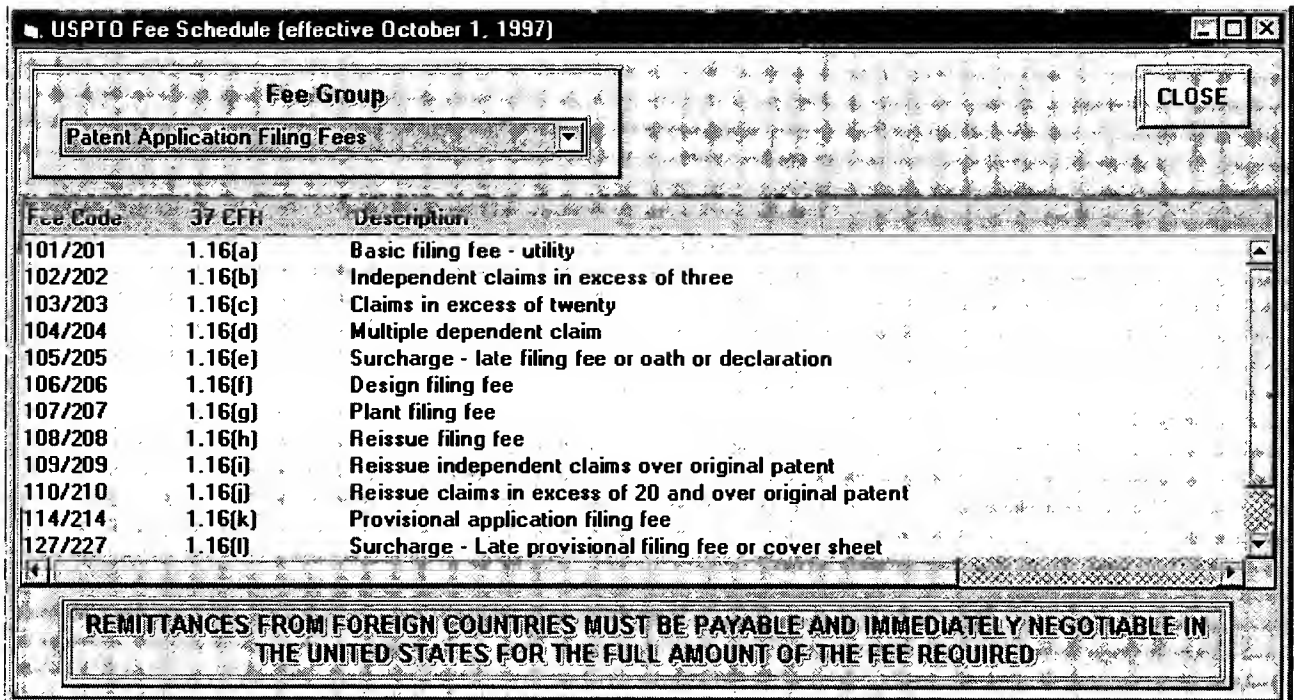
You may order *LegalStar* Laser Patent Drawing Labels by telephone (800-605-3360, 716-634-1614), facsimile (716-631-3428), e-mail (visit our web site at [www.legalstar.com](http://www.legalstar.com)). To complete an order form, simply select "Drawing Labels" from the task bar and then select "Order Drawing Labels".



## Viewing the USPTO Fee Schedule



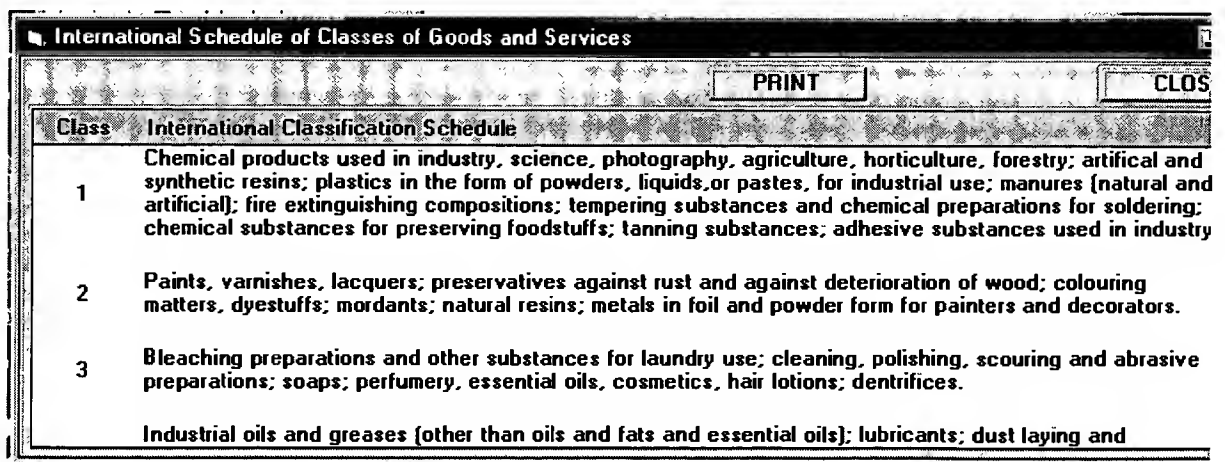
You can view the most current USPTO Fee Schedule by selecting "References" from the task bar and then selecting "Fees":



## Viewing the International Classification Schedule for Trademarks and Service Marks



You can view the International Schedule of Classes of Goods and Services by selecting "References" from the task bar and then selecting "Goods":



## Web Site

Visit our web site at [www.legalstar.com](http://www.legalstar.com). You may order acknowledgment postcards and drawing labels directly from the web site. We also post announcements about our products from time to time. Our *Premier Plus* clients receive e-mail notification of updated and modified forms and can download these updates directly from our web site.

## Updates

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## Technical Support

We have designed *IP LegalForm* to be user-friendly and extremely easy to use. Should you need assistance or technical support, call **LegalStar** at (716) 634-1614 or send us an e-mail at [support@legalstar.com](mailto:support@legalstar.com).

***LegalStar***  
***P.O. Box 415***  
***Williamsville, New York 14221***

Telephone: 800-605-3360 Ordering and customer service  
716-634-1614 Technical support

Facsimile: 716-631-3428

E-mail: [info@legalstar.com](mailto:info@legalstar.com)

Web site: [www.legalstar.com](http://www.legalstar.com)

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